



PACIFIC CAPITAL FUNDS

Notice of Privacy Policy & Practices

Pacific Capital Funds (the “Funds”) recognize and respect the privacy expectations of the Funds’ customers¹. We provide this notice to you so that you will know what kinds of information we collect about our customers and the circumstances in which that information may be disclosed to third parties who are not affiliated with the Funds.

Collection of Customer Information

We collect nonpublic personal information about our customers from the following sources:

- *Account Applications and other forms*, which may include a customer’s name, address, social security number, and information about a customer’s investment goals and risk tolerance;
- *Account History*, including information about the transactions and balances in a customer’s accounts; and
- *Correspondence*, written, telephonic or electronic between a customer and the Funds or service providers to the Funds.

Disclosure of Customer Information

We may disclose all of the customer information we collect to third parties who are not affiliated with the Funds:

- as permitted by law – for example with service providers who maintain or service shareholder accounts for the Funds or to a shareholder’s broker/dealer; and
- to perform marketing services on our behalf or pursuant to a joint marketing agreement with another financial institution.

From time to time, we may forward to you information about products or services which may be of interest to you offered by other progressive companies, but we will not disclose information about customers of the Funds to those companies.

Security of Customer Information

We require service providers to the Funds:

- to maintain policies and procedures designed to assure only appropriate access to, and use of information about customers of the Funds; and
- to maintain physical, electronic and procedural safeguards that comply with federal standards to guard nonpublic personal information of customers of the Funds.

We will adhere to the policies and practices described in this notice regardless of whether you are a current or former customer of the Funds.

¹ For purposes of this notice, the terms “customer” or “customers” include both individual shareholders of the Funds and individuals who provide nonpublic personal information to the Funds but do not invest in the Funds’ shares.

Not a Part of the Prospectus